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# United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE Washington, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING RECEIP DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/963,891

Andrew E. Phelps

P6802

**CONFIRMATION NO. 9230** 

FORMALITIES LETTER

OC000000006957823\*

Jeffrev A. Pvle

Williams, Morgan & Amerson, P.C.

Suite250 7676 Hillmont Houston, TX 77040

Date Mailed: 10/23/2001

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01/28/2002 BABRAHA1 00000028 500786

09963891

FILED UNDER 37 CFR 1.53(b)

01 FC:105

Filing Date Granted

270.00 DP 02 FC:103 336.00 DP 03 FC:102 694.00 OP~ 46.00 CH 04 FC:101

> An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$590.
  - \$270 for 15 total claims over 20.
  - a \$320 for 4 independent claims over 3.
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1430.

A copy of this notice <u>MUST</u> be returned with the reply.

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

JAN 2 2 2002

DANIEL P. DROGICHE ANDREW E. PHELPS

Serial No.: 09/963,891

Filed: September 25, 2001

For: SYSTEM DOMAIN TARGETED,

CONFIGURABLE INTERCONNECTION

Group Art Unit: 2182

Examiner: Unknown

Attorney Docket: 2070.006000/JAP

P6802

## RESPONSE TO 37 C.F.R. § 1.53(f) NOTICE

### **BOX MISSING PART**

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

## CERTIFICATE OF MAILING (37 C.F.R. § 1.8)

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: BOX MISSING PART, Assistant Commissioner for Patents, Washington D.C., 20231, on the date below.

December 5, 200

In response to the Notice to File Missing Parts of Application Under 37 C.F.R. § 1.53(f), dated October 23, 2001, there are enclosed herewith:

- (a) Declaration;
- (b) Assignment and accompanying Recordation Form Cover Sheet;
- (c) Power of Attorney;
- (d) Request for Corrected Filing Receipt;
- (e) Our check in the amount of \$1470 to cover the basic filing fee (\$710), surcharge for late filing (\$130), additional claim fee (\$590), and Assignment recordation fee (\$40); and

(f) A copy of Notice to File Missing Parts of Application-Filing Date Granted.

If the check is inadvertently omitted, or should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an overpayment be included herein, the Assistant Commissioner is authorized to deduct or credit said fees from or to Williams, Morgan & Amerson, P.C. Deposit Account No. 50-0786/2070.006000JAP.

Please date stamp and return the accompanying postcard to evidence receipt of these documents.

Respectfully submitted,

Reg. No. 34,904

ATTORNEY FOR APPLICANTS

WILLIAMS, MORGAN & AMERSON, P.C. 7676 Hillmont, Suite 250 Houston, Texas 77040 (713) 934-4053 (713) 934-7011 (fax)

December 5, 2001

Receipt TT

# THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

DANIEL P. DROGICHEN ANDREW E. PHELPS

Serial No.: 09/963,891

Filed: September 25, 2001

For: SYSTEM DOMAIN TARGETED,

CONFIGURABLE INTERCONNECTION

Group Art Unit: 2182

Examiner: Unknown

Attorney Docket: 2070.006000/JAP

P6802

## REQUEST FOR CORRECTED FILING RECEIPT

**Assistant Commissioner for Patents** 

Washington, D.C. 20231

Sir:

CERTIFICATE OF MAILING (37 C.F.R. § 1.8)

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington D.C., 20231, on the date below.

December 5, 200 grade Meinhart

A corrected filing receipt is hereby requested in view of the error that appears in the original. For the convenience of the Patent and Trademark Office, attached is a photocopy of the original receipt on which the error has been noted in red. Also attached is a copy of the Express Mail receipt showing that this application was deposited with the U.S. Postal Service on September 25, 2001.

As the error is not due to any error by Applicants, no fee is believed to be due in connection with the filing of this document. However, should any fee under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to this document, the Assistant Commissioner

is hereby, authorized to deduct said fee from Williams, Morgan & Amerson, P.C. Deposit Account No. 50-0786/2070.006000JAP.

Please date stamp and return the enclosed postcard evidencing receipt of these materials.

Respectfully submitted,

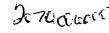
ATTORNEY FOR APPLICANTS

WILLIAMS, MORGAN & AMERSON, P.C. 7676 Hillmont, Suite 250

Houston, Texas 77040 (713) 934-4053

(713) 934-7011 (fax)

December 5, 2001





## United States Patent k Office

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COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER FILING DATE GRP ART UNIT FIL FEE REC'D ATTY.DOCKET.NO **DRAWINGS** TOT CLAIMS IND CLAIMS 09/963,891 09/26/2001 0.00 P6802 2182 35 25

**CONFIRMATION NO. 9230** 

UPD (TIES ] DOCKETED V Not Require 1 Previously -Appl lafo.

\*OC000000006957822\*

**FILING RECEIPT** 

Jeffrey A. Pyle Williams, Morgan & Amerson, P.C. Suite250 7676 Hillmont Houston, TX 77040

Ree/Grant Info Accor Required.

Date Mailed: 10/23/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE. NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Andrew E. Phelps, Residence Not Provided; Daniel Drogichen, Residence Not Provided; Donald Kay, Residence Not Provided; Eric Graf, Residence Not Provided;

DOCKETING DEPT. OCT 2 9 2001 WILLIAMS, MORGAN & AMERSON

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 10/23/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

**Early Publication Request: No** 

**Title** 

System domain targeted, configurable interconnection

**Preliminary Class** 



# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).





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